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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/812,884	03/31/2004	Shoichi Kanayama	251157US28X	4570
22850	7590	10/15/2009		
OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA, VA 22314				
EXAMINER				
CWERN, JONATHAN				
ART UNIT		PAPER NUMBER		
3737				
NOTIFICATION DATE		DELIVERY MODE		
10/15/2009		ELECTRONIC		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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# Office Action Summary

**Application No.**

10/812,884

**Applicant(s)**

KANAYAMA ET AL.

**Examiner**

Jonathan G. Cwern

**Art Unit**

3737

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 23 July 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-3, 5-20, 31 and 32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3, 5-20, 31 and 32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/S5108)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7/23/09 has been entered.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-3, 5-20, and 31-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kruger (US 2003/0069491) in view of Unger et al. (US 5977538) and Chen et al. (US 2004/0042006).

As per claims 1, 2, 3, and 4, Kruger discloses a non-invasive imaging apparatus comprising: a light-generating unit (electromagnetic energy from an external source, paragraph 30), light irradiation and waveguide means (18, 84) for guiding and radiating light (electromagnetic radiation, see abstract; radiation occurs from open end of waveguides) at a plurality of wavelengths (2-12 centimeters, paragraph 4), a plurality of vertically and horizontally arrayed electroacoustic transducer elements with gaps between elements (24, 32, Figure 3), transmission means for transmitting Ultrasonic waves (52, 54), reception means for generating a reception signal from the ultrasonic waves (52, 56), signal processing means for generating volume data by processing a reception signal corresponding to acoustic waves generated in the subject by light radiated from the irradiation unit (46, 48), and signal processing means for generating volume data about a subject morphology by processing a reception signal corresponding to echoes generated in the subject upon transmission of the ultrasonic waves (US imaging system, 52). Kruger further discloses a waveguide (84) discretely arranged between arrayed electroacoustic transducer elements in a handheld unit (86-1 through 86-8; see also Figure 7), surrounded by eight elements. Kruger does not

explicitly disclose using optical fiber for the waveguide means and does not explicitly disclose a plurality of waveguides in the handheld unit. Kruger et al. also fail to show the plurality of optical fibers arranged in the intervals between horizontally and vertically arrayed transducer elements and that each optical fiber is surrounded by four adjacent conversion elements, as well as multiplexing the output light.

Unger et al. disclose an optoacoustic imaging system. Unger et al. teach that the optical fibers can be arranged horizontally, vertically, or in a circle, in between or around the transducer elements (column 5, lines 1-45 and Figures 3A-3F). This includes a configuration such that each optical fiber is surrounded by four adjacent conversion elements (see Figure 3F). Such configurations are old and well known in the art, and the benefits of such configurations are also well known. For example, one arrangement could allow for the lateral spatial resolution of the images produced to be improved, or one arrangement could be used to obtain more uniform and/or more powerful illumination. The specific configuration of the optical fibers and transducer elements is an obvious design choice which can be selected by one of ordinary skill in the art. While Kruger does not go into specific details of the optical delivery arrangement, Unger et al. teach a variety of arrangements for the light source which can provide light at multiple wavelengths (column 4, lines 35-52).

Optical fibers are a well-known waveguide means for infrared and visible light electromagnetic radiation. It would have been obvious to a person having ordinary skill in the art at the time of the invention to modify the invention of Kruger to use optical fiber as the waveguide means as taught by Unger et al., in order to investigate the subject

properties at infrared and visible wavelengths. Furthermore, the exact number of elements surrounding the waveguide is an obvious design choice.

Chen et al. disclose a multiplex coherent Raman spectroscopy detector. Chen et al. teach an optical arrangement which uses an optical parametric oscillator to generate multiple wavelengths of light, with multiplexing components to allow the light to travel along the same path ([0040]-[0051]).

It would have been obvious to one of ordinary skill in the art, to have substituted an optical arrangement such as taught by Chen et al. in order to generate light at multiple wavelengths on a single optical axis, as it would be an obvious design choice. A variety of different optical arrangements are well known in the art, and it would be an obvious design choice to select any arrangement which will yield the desired results. This can simplify the device, reduce the size and cost of the system, speed up the diagnostic procedure, make the device easier for an operator to handle, or provide any other well known advantages.

As per claims 5 and 6, Kruger further discloses scanning means (scanning system, paragraph 37), accomplished by rotating the waveguides (18) and detector array (24) to multiple angular positions (paragraph 31) and further discloses generating a reception signal corresponding to acoustic waves generated by irradiation of the light (TACT system and receiver, 46 and 48), from electrical signals from a predetermined number of transducer elements (24, 32) near an end portion of a waveguide (Figure 1).

As per claim 7, and as applied to claim 2 above, Kruger further discloses radiating light beams (electromagnetic radiation, see abstract) from not less than two optical fibers (at least eight waveguides, Figure 6A) whose end portions are spaced apart by not less than a predetermined distance.

As per claim 8, and as applied to claim 7 above, Kruger further discloses generating a reception signal corresponding to acoustic waves generated by irradiation of the light (TACT system and receiver, 46 and 48), from electrical signals from a predetermined number of transducer elements (24, 32) near an end portion of an optical fiber (waveguide, Figure 1).

As per claim 9, and as applied to claim 2 above, Kruger further discloses simultaneously radiating light (synchronized electromagnetic radiation, paragraph 16) from a plurality of end portions of optical fibers (waveguides, Figure 1-; eight positions, Figure 6).

As per claim 10, and as applied to claim 9 above, Kruger further discloses generating a reception signal from electrical signals (TACT system and receiver, 46 and 48), from a predetermined number of transducer elements (24, 32) near an end portion of an optical fiber (waveguide, Figure 1).

As per claims 11 and 12, Kruger further discloses alternately (separately) performing (Simultaneously, or as a separate imaging modality, paragraph 37) the irradiation of light and the transmission of ultrasonic waves.

As per claim 13, Kruger further discloses forming a 2-dimensional image (paragraph 10). Furthermore, forming a 2-dimensional image from a 3-dimensional

volume of data inherently requires selecting a single slice from the 3-dimensional volume.

As per claims 14 and 15, Kruger further discloses displaying (display, 50) living body function image data (TACT data, paragraph 37) and morphology image data (ultrasound image, paragraph 37), with said morphology image data superimposed on (overlaid, paragraph 37) said living body function image data on the display. Kruger does not explicitly disclose displaying the images side by side. It would have been obvious to a person having ordinary skill in the art at the time of the invention to display the images side by side, as it has been held that rearranging parts of an invention involves only routine skill in the art. *In re Japiske*, 86 USPQ 70.

As per claim 16, Kruger discloses an imaging method comprising: irradiating a subject to be examined with light (electromagnetic radiation, see abstract; radiation occurs from open end of waveguides) containing a specific wavelength component (2-12 cm, paragraph 4), receiving acoustic waves using a plurality of two-dimensionally arranged electroacoustic transducer elements (24, 32), transmitting ultrasonic waves in a plurality of directions (54), receiving echoes from the ultrasonic waves (56), generating volume data about a tissue morphology (US imaging system, 52), and generating volume data about a living body function on the basis of the acoustic waves (TACT system and receiver, 46 and 48). Kruger further discloses a waveguide (84) between arrayed electroacoustic transducer elements in a handheld unit (86-1 through 86-8; see also Figure 7).



As per claim 17, Kruger further discloses sequentially radiating light (electromagnetic radiation) from said plurality of radiators by rotating the apparatus in order to collect signals from a sequence of multiple angular positions paragraph 31).

As per claims 18 and 19, Kruger further discloses simultaneously radiating light (synchronized electromagnetic radiation, paragraph 16) from a predetermined number of discrete positions (eight positions, Figure 6).

As per claim 20, Kruger further discloses alternately (separately) performing (Simultaneously, or as a separate imaging modality, paragraph 37) the irradiation of light and the transmission of ultrasonic waves.

It would have been obvious to a person having ordinary skill in the art at the time of the invention to modify Kruger to use separate electroacoustic conversion means as it has been held that constructing a formerly integral structure in various elements involves only routine skill in the art, *Nerwin v. Erlichman*, 168 USPQ 177, 179. Furthermore, separate arrays of transducer elements would avoid the need for filtering of the two signals.

### ***Response to Arguments***

Applicant's arguments with respect to claims 1-3, 5-20, and 31-32 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jonathan G. Cwern whose telephone number is (571)270-1560. The examiner can normally be reached on Monday through Friday 9:30AM - 6:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Casler can be reached on 571-272-4956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Examiner, Art Unit 3737

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